Dopamine Transporter and Reward Anticipation in a Dimensional Perspective: A Multimodal Brain Imaging Study

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INTRODUCTION

Regional dysfunctions of the dopamine (DA) system and reward-related neural activity have both been reported in various psychiatric disorders (Davis et al, 1991; Chau et al, 2004; Hommer et al, 2011; Fusar-Poli and Meyer-Lindenberg, 2013). Although animal studies have established a close relationship between DA and reward using neurophysiological paradigms (Schultz, 1997; Carelli and Wightman, 2004), direct evidence for their covariation in humans remains limited. Particularly, it is unknown whether gradual alterations in the DA system underlie variations in reward processing across a continuum encompassing health and psychiatric disorders.

In healthy subjects, positron emission tomography (PET) and functional magnetic resonance imaging (fMRI) have been recently used to explore the relationship between DA release and reward-related functional activity. Weiland et al (2014,2016) described positive correlations between DA release within the nucleus accumbens (NAcc), assessed with [11C]raclopride, and reward-related activations in the prefrontal cortex and NAcc. Similarly, another team showed significant positive correlations between DA release in the NAcc and both midbrain and NAcc activations during reward anticipation (Schott et al, 2008). The local correlation between DA release and neural activity during anticipation of reward in the NAcc has also been observed using PET and [18F]fallypride (Buckholtz et al, 2010). Otherwise, using PET and [18F]-FDOPA, correlations between dopamine synthesis capacity within the midbrain and reward anticipation and feedback in the prefrontal cortex were also reported (Dreher et al, 2008). Thus, PET–fMRI multimodal imaging seems appropriate to assess the relationship between the DA system
and the reward system from healthy to pathological conditions.

Abnormalities of the reward system and the mesolimbic DA system have been reported separately in patients with psychiatric disorders, including schizophrenia, depression, and addictions (Chau et al., 2004). Striatal presynaptic DA hyperactivity (Fusar-Poli and Meyer-Lindenberg, 2013) is central to the DA hypotheses of schizophrenia (Davis et al., 1995; Kapur, 2003). It has been proposed that DA hyperactivity in schizophrenia patients would result in an aberrant attentiveness to irrelevant stimuli (Kapur, 2003), then contributing to abnormal association with reward. In major depressive disorder, a reduced striatal response to rewards (Whiton et al., 2015) has been hypothesized to relate to the loss of pleasure and motivation found in these patients (Naranjo et al., 2001). Moreover, the monoamine deficiency hypothesis posits that depressive symptoms arise from insufficient levels of serotonin, norepinephrine, but also DA (Delgado, 2006). In addicted patients, the magnitude of striatal DA release following drug intake is predictive of the subjective effects of the drug (Malison et al., 1995; Volkow et al., 1997). Volkow et al. (2011) also highlighted the involvement of striatal DA in drug craving in cocaine-dependent subjects. Further, chronic drug users display a downregulation of DA and reward systems, attested by lower D2 availability and reward-related activations (Homer et al., 2011).

Among markers of the DA system, the dopamine transporter (DAT), which has a key role in synaptic DA regulation, has been proposed to reflect DA system integrity and function (McHugh and Buckley, 2015). Moreover, though controversial, DAT modifications have been reported in addictions (Volkow et al., 2004; Narendran and Martinez, 2008; Leroy et al., 2012; Hirth et al., 2016), mood disorders (Pinsonneault et al., 2011), and schizophrenia (Sjoholm et al., 2004; Arakawa et al., 2009; Artiges et al., 2017). Thus, assessing DAT availability to explore the relationship between DA and reward processing in psychiatric populations appears particularly relevant.

In regard to the assessment of the reward system, the Monetary Incentive Delay (MID) task (Knutson et al., 2000) has emerged as the most reliable and the most widely used tool to measure activations related to reward anticipation and feedback in fMRI. Indeed, the MID task revealed that anticipation of increasing amounts of monetary reward is related to NAcc activation (Knutson et al., 2001a), whereas reward feedback is associated with activations within the ventromedial frontal cortex (Knutson et al., 2001b). Furthermore, abnormalities of the reward system activity have been reported in patients with addiction, schizophrenia and major depression using the MID task (Hagele et al., 2015).

With the establishment of the Research Domain Criteria project (RDoC), the National Institute of Mental Health (NIMH) promotes the use of a dimensional approach that can be applied across diagnostic boundaries to identify neurobiological signatures of core dysfunctions underlying mental illnesses (Insel et al., 2010; Hagele et al., 2015). Thus, measuring DA and reward systems in this context might clarify the complex relationships between DA at the molecular scale and DA-related functional responses across clinical conditions.

In line with this dimensional approach, we hypothesized that DAT availability would correlate with reward anticipation-related neural response in a group of participants including healthy controls and patients with schizophrenia, depression, or cocaine-dependence. We assumed that DA and reward systems remain strongly associated in psychiatric patients so that abnormal DA function should be related to abnormal reward processing. To this end, we used [11C]PE2I PET that assesses DAT availability, and fMRI with a modified version of the MID task that assesses reward processing, in voxel-based correlation analyses. In addition to the dimensional approach, we examined the relationship between DAT and reward-related fMRI activations within each clinical subgroup, in a secondary exploratory analysis.

**MATERIALS AND METHODS**

PET and fMRI investigations were approved by the regional biomedical research ethics committee (CPP Ile de France 7), and each participant gave written informed consent after receiving full information on the procedures.

**Participants**

In this study, we used PET images acquired with [11C]PE2I in healthy and psychiatric samples, on which previous PET studies investigating DAT were based (Leroy et al., 2012; Karila et al., 2016; Artiges et al., 2017). From this database, twenty-seven participants were selected based on the combined presence of PET images and fMRI data acquired with the MID task, that passed quality control (Supplementary Figure S1). Our sample included six healthy controls (HC); ten cocaine-dependent patients that were abstinent for at least 3 days (COC); six patients with schizophrenia (SCZ) and five depressive patients (DEP). SCZ and DEP met criteria for a diagnosis of schizophrenia or major depressive disorder according to the DSM-IV-TR and were recruited by senior psychiatrists from psychiatric departments of Central and South Paris area. COC met DSM-IV criteria for cocaine dependence and were recruited in the Cocaine Reference Center within Paul Brousse University Hospital, Villejuif (France). In this sample, SCZ were administered atypical antipsychotics in monotherapy, DEP were treated with Selective Serotonin Reuptake Inhibitors (SSRI) monotherapy (sertraline excluded), and COC were untreated. Urinary toxicology screening tests were carried out using benzoylcegonine (BE) dosage to ensure cocaine abstinence in COC patients. HC were recruited from the community through billboards in Paris area.

Exclusion criteria were: age over 60, any substance related Axis 1 disorder in the past 6 months (except tobacco dependence for all participants and cocaine dependence for COC subgroup), treatment susceptible to interfere directly with DAT, electroconvulsive therapy treatment in the past six months, history of epileptic seizures, other psychiatric and/or neurological disorders or substantial brain damage, and contraindication to magnetic fields according to established safety criteria. Given the known action of psychoactive drugs on the DA system, semi-quantitative urinary multi-screens for the detection of cocaine, amphetamine, methamphetamine, cannabis, methadone, opiates,
ecstasy, barbiturates, benzodiazepines, and tricyclic antidepressants (BMD: Biomedical Diagnostics) were performed prior to each imaging session in order to rule out multiple drug users.

Task Description
During fMRI scanning, all participants completed the modified Monetary Incentive Delay task (MID-task) reward paradigm (Figure 1), in which the main difference to the original version is the omission of loss trials (Knutson et al., 2000; Nees et al., 2012).

MRI Acquisition
Structural and functional MRI were acquired using a 1.5 Tesla whole-body system (Signa, General Electric, Milwaukee, Wisconsin). T1-weighted structural MRI scan was carried out with the following parameters: 3D Fourier-transform spoiled-gradient-recalled acquisition with TR = 12.5 ms, TE = 2.2 ms, 124 contiguous slices, 256 × 256 view matrix, voxel size = 0.9375 × 0.9375 × 1.3 mm.

For functional MRI, 66 randomized trials were presented and 290 volumes were acquired for a total duration of 11 min (Figure 1). Stimuli were presented through mirror glasses and an active matrix video projector. We acquired 36 slices in ascending order using a gradient-echo T2*-weighted sequence and the following image parameters: TR = 2,400 ms, TE = 30 ms, and an inplane matrix size of 64 × 64 pixels. Voxels size was 3.75 × 3.75 × 3 mm.

fMRI Processing
The fMRI data were processed using Statistical Parametric Mapping (SPM12, Wellcome Department of Imaging Neuroscience, University College London, London, UK). Images were corrected for slice timing, spatially realigned, warped onto the MNI space and smoothed using a 10 mm FWHM Gaussian filter. First-level analysis of blood oxygen level-dependent (BOLD) signal change was performed by modeling anticipation and feedback as explanatory variables within a general linear model for each subject. We defined reward magnitudes of large, small and no win as subject-specific variables of interest, and movement regressors were added to the design matrix. To focus on neural response during reward anticipation, we used the ‘anticipation of large win vs small win’ contrast in second-level analyses.

PET Acquisition and Processing
PET imaging was performed on a Siemens ECAT HRRT 3D-PET scanner (CPS innovations Services, Knoxville, TN, USA) using [11C]PE2I tracer, a potent DAT radioligand that binds with high affinity (Ki: 17 nM in vitro), specificity and selectivity to central DAT (Halldin et al., 2003). The radiotracer was prepared using a TRACERlab FX-C Pro synthesizer (Gems, Velisy, France). The PET acquisition started with the bolus injection of 300 MBq of [11C]PE2I and lasted 60 min (acquisition of 20 sequential frames from 1 to 5 min). Images were reconstructed using the ordinary Poisson-ordered subset expectation maximization (OP-OSEM) algorithm with Point Spread Function (PSF) modeling. The voxel size was 1.2 × 1.2 × 1.2 mm. The injected radioactivity was 293.71 ± 55.31 MBq and the specific radioactivity was 32.13 ± 17.10 GBq/μmol.

Head motion corrections were carried out post-reconstruction using frame by frame co-registration of the PET dynamic series with a reference frame presenting a high [11C]PE2I uptake and a mutual information method within the BrainVISA/Anatomist software (http://brainvisa.info). Thereafter, brain regions were determined by T1-MRI automatic parcellation and applied on dynamic co-registered PET images using the PNEURO tool of PMOD imaging software (Version 3.4, PMOD Technologies Ltd, Zürich, Switzerland), to process parametric binding potential images. Time–activity curves obtained from bilateral dorsal caudate and putamen nuclei as high-specific binding and crus1 sub-region of the cerebellum as a reference tissue that display non-specific binding were exported to PMOD’s pixel-wise tool. Parametric maps of the regional [11C]PE2I non-displaceable binding potential (BPND) were generated using Gunn’s basis function method (Gunn et al., 1997), which is closely related to the simplified reference tissue model (SRTM) (Lammertsma and Hume, 1996). The suitability of specific [11C]PE2I binding quantification using a compartmental approach and the cerebellum as reference region has been confirmed by previous works (Seki et al., 2016; Artiges et al., 2017), we used a mask for the PET statistical analysis, in order to include only main DA regions. It included basal ganglia, insula, amygdala, thalamus, midbrain, anterior cingulate gyrus, inferior frontal
PET–fMRI Correlation

In order to examine the relationships between DAT availability and the reward system neural response, we performed a PET–fMRI multimodal analysis. Mean BP_{ND} values extracted from each previously defined ROI were included as covariate of interest in voxel-based correlation analyses over the fMRI contrast maps of reward anticipation ‘large win vs small win’. For each region mean BP_{ND}, we performed a multiple regression analysis in SPM12. Age, clinical status, and tobacco use were included as confounding covariates, due to their potential effects on both DAT levels and functional activations. For these multimodal correlation analyses, height threshold was set at \( p < 0.05 \) family-wise error (FWE)-corrected and cluster significance (extent threshold) was set at 10 voxels.

Multimodal correlation statistics were conducted within the mask of PET analysis, which includes mainly dopaminergic brain regions (Karila et al., 2016). This mask allowed the exclusion of premotor cortex activations occurring during reward anticipation periods preceding the motor response. As a control, we have tested the correlation between premotor cortex activations and DAT availability in the defined ROIs and no association was found (data not shown).

Secondary Exploratory Analyses

To further explore the results obtained from the PET–fMRI correlation, we ran a general linear model in a post hoc analysis using extracted BP_{ND} and activations raw data with JMP10 software (JMP, SAS Institute). Functional activation values were extracted from significant activated clusters of the fMRI contrast map ‘anticipation of large win vs small win’ using the MarsBaR toolbox in SPM. We included reward anticipation activations as independent variable and regional BP_{ND} values, age, tobacco use, and clinical subgroups as dependent variables of the model. We tested for the main effects of each dependent variable and for the subgroup * BP_{ND} interaction. Thereafter, we assessed the PET–fMRI correlation within each clinical subgroup separately in exploratory analyses, using a similar statistical model.

RESULTS

Participants’ Characteristics

Subgroups of participants did not differ by age (mean = 36.52 ± 8.00, \( \chi^2 = 1.80, p = 0.61 \)), body mass index (BMI) (mean = 25.86 ± 4.06, \( \chi^2 = 4.67, p = 0.20 \)) and daily tobacco consumption (mean = 13.57 ± 11.12, \( \chi^2 = 3.05, p = 0.38 \)), as revealed by Kruskal–Wallis rank tests performed across the four clinical categories.

Behavioral Results

During the MID task, the participants displayed high rates of correct responses, on an average of 86.50% mean success. ANOVA and post-hoc analyses of hit and miss rates under the three conditions (large, small, and no win) revealed a significant effect of incentive conditions (\( F = 7.69, p = 0.0009 \)), with lower performance in unrewarded trials compared to rewarded trials (large win vs no win: \( p = 0.0008 \), small win vs no win: \( p = 0.015 \)).

fMRI Results

The reward anticipation contrast map ‘large win vs small win’ revealed specific activations in ventral parts of caudate and putamen nuclei, insula, anterior and mid cingulate cortices, inferior frontal cortex, premotor cortex, and supplementary motor area (Supplementary Table S1), as initially described by Knutson et al (2000, 2001a, 2001b) with the original MID task in healthy subjects.

PET Results

In the whole group, significant binding of \([^{11}C]PE2I\) was detected within the striatum (caudate and putamen), pallidum, insula, thalamus, hippocampus, and midbrain, as determined with the Montreal Neurological Institute (MNI) coordinates, from the local maxima of each region of the cluster (Supplementary Figure S2; Supplementary Table S2).

PET–fMRI Correlation

Across all participants, DAT availability in the midbrain correlated positively with anticipatory reward neural response in bilateral NAcc ([MNI coordinates \( x, y, z \): \([-8, 16, -10]\), \( t = 8.61, p_{\text{FWE}} = 0.0002; [8, 16, -12], t = 6.02, p_{\text{FWE}} = 0.015 \)] and in the left inferior/orbital prefrontal cortex ([\([-24, 26, -8]\), \( t = 5.92, p_{\text{FWE}} = 0.018 \); Figure 2. There was no significant correlation between BP_{ND} values in the dorsal striatum and reward-related activations.

Secondary Exploratory Analyses

A secondary analysis conducted on extracted fMRI contrast estimates confirmed that BP_{ND} values in the midbrain exert a significant influence on reward-related functional neural response in the ventral striatum cluster (likelihood ratio = 14.99, \( p < 0.0001 \)). Similarly, we found significant effects of age (positive effect, likelihood ratio = 25.79, \( p < 0.0001 \)), daily tobacco consumption (negative effect, likelihood ratio = 9.98, \( p = 0.0016 \)), and subgroups (likelihood ratio = 29.93, \( p < 0.0001 \)) on fMRI contrast estimates, indicating a significant influence of these factors on NAcc reward-related neural response. Otherwise, there was no interaction between ‘subgroup’ and ‘midbrain BP_{ND}’ factors (likelihood ratio = 5.51, \( p = 0.1379 \)), suggesting that the correlation between midbrain BP_{ND} values and the neural response to reward.
anticipation in the NAcc did not differ according to the clinical condition of the participants. When testing this correlation within each subgroup separately for exploratory analyses, we replicated our main result showing the influence of BP_{ND} values on fMRI contrast estimates (Figure 3) in HC (likelihood ratio = 12.11, \( p = 0.0005 \)), COC (likelihood ratio = 11.40, \( p = 0.0007 \)), SCZ (likelihood ratio = 23.23, \( p < 0.0001 \)), and DEP (likelihood ratio = 6.20, \( p = 0.0128 \)), despite the small sample sizes.

**DISCUSSION**

This is the first direct evidence of a relationship between DAT availability in the midbrain and BOLD activity within the NAcc and the left inferior/orbital prefrontal cortex during reward anticipation in healthy controls and psychiatric patients.

This finding is in line with previous multimodal PET-fMRI studies that established a link between reward function and DA release in healthy humans. Using PET with \([^{11}C]\)raclopride or \([^{18}F]\)fallypride, and fMRI of reward anticipation, correlations between DA release in the NAcc and both midbrain and NAcc activations have been described (Schott et al, 2008; Buckholtz et al, 2010; Weiland et al, 2016). Consistently with Schott et al (2008) report of a relationship between DA binding on D2 receptors in the NAcc and functional activations in the midbrain, we report a correlation linking the DAT in the midbrain to the NAcc neural response, which associates the two main anatomically interconnected regions of the mesolimbic system. Moreover, the correlation between DAT availability in the midbrain and anticipatory reward response also included the left inferior/orbital prefrontal cortex that receives a large amount of midbrain DA outputs from the mesocorticolimbic pathway, together with the NAcc and the anterior cingulate cortex (Haber and Behrens, 2014). In the other way, this region provides a large part of the cortical afferent projection to the NAcc (Haber and Behrens, 2014). These interconnections between the inferior/orbital prefrontal cortex, the NAcc and the midbrain are essential to the function of the reward system and underlie the consistency of our results.
Surprisingly, the local correlation between DA and reward-related activations within the NAcc reported in the previous studies was not replicated in our sample. This could be explained by the difference between the DA markers used, since our study explored DAT availability and previous works assessed DA receptors availability or DA release. However, when taking a more lenient extent threshold, we found a local positive correlation between DAT availability and anticipatory reward response within the left NAcc, ([−8, 18, −10], t = 6.17, p_{FWE} = 0.011). As the DAT represents a useful marker of the DA system integrity and function (McHugh and Buckley, 2015), and the NAcc is one of the main targets of DA neurons located in the midbrain, the correlations we found between DAT availability in the midbrain or in the NAcc and functional activity within the NAcc adds evidence for a modulatory role of DA in reward anticipation (Ikemoto, 2007).

In addition, there is also indirect evidence of a relationship between DAT and reward processing, which emerged from studies that explored the links between dopaminergic gene variants and NAcc reward responsivity in healthy volunteers (Dreher et al, 2009; Camara et al, 2010; Greer et al, 2016), supporting the relevance of assessing DAT in a context of reward processing.

Since the relationship between DA and reward is well established in animals (Schultz, 1997; Carelli and Wightman, 2004), we hypothesized that the DA function and the reward system neural activity remain strongly associated in humans with psychiatric disorders where dysfunctions of both systems were reported (Davis et al, 1991; Chau et al, 2004; Hommer et al, 2011; Fusar-Poli and Meyer-Lindenberg, 2013), so that abnormal DA function should be associated to abnormal reward processing. Consistently with this hypothesis, we found no interaction between clinical subgroup and midbrain BP_{ND} on NAcc reward-related activations, suggesting that the relationship between DA function and reward anticipation does not differ according to the clinical subgroups. Remarkably, the DAT availability and reward anticipation measures vary similarly across the participants, so that the clinical subgroups with the lowest DAT levels display the lowest functional activations. Although observed in small samples of participants with various conditions, this original finding further supports the interest of a dimensional approach of the DA regulation as a modulator of the reward system.

By merging different psychiatric patients and healthy controls, we obtained a large variability in PET and fMRI measures that can be described as a continuum through the clinical subgroups. In the context of the RDoC project, it has been proposed that a dimensional approach can be used across clinical categories to identify the pathophysiology of core dysfunctions found in mental illnesses (Insel et al, 2010). In the present study, despite the heterogeneity of psychiatric disorders examined, the relationship between DA and reward is conserved regardless of the condition of the participants. Besides, we replicated our main result in healthy controls and each patient subgroup separately. Although the interpretation of this exploratory analysis is limited by the number of participants in each subgroup, the results suggest that dopaminergic dysfunction is a common mechanism underlying the alterations of reward processing observed in patients with schizophrenia, depression, or addiction. Most importantly, these data demonstrate the relevance of studying the physiopathology of psychiatric disorders in a dimensional perspective.

Some limitations should be highlighted. First, although the cohort size is in line with previous studies that combined PET and fMRI imaging (Schott et al, 2008; Weiland et al, 2014; Weiland et al, 2016), the small subgroup sizes do not enable robust intergroup comparisons and imply that subgroup results should be considered with caution. Second, as the effects of medication and groups cannot be disentangled in the statistical analyses, their putative impact need to be addressed in future studies. SCZ were treated with atypical antipsychotics that were shown to have no effect on the DAT (Lavalaye et al, 2000; Kim et al, 2004; Artiges et al, 2017) or on activation patterns to monetary reward in ventral striatum (Juckel et al, 2006; Walter et al, 2009; Nielsen et al, 2012). DEP were treated with SSRI. Although SRRIs do not bind tightly to DAT (Zhou et al, 2009), they were shown to induce an up-regulation of the DAT protein (Chen and Lawrence, 2003; Kugaya et al, 2003; Rominger et al, 2015). Thus, it cannot be excluded that SSRI treatment may have slightly influenced DAT quantification in depressed patients. Regarding reward processing, whether SSRI treatment influences functional activity during reward tasks in patients with depression remains unclear. In healthy controls, SSRI treatment was shown to reduce the responsiveness to reward in fMRI (Macoveanu et al, 2014; Graf et al, 2016). However, Stoy et al (2012) evidenced a hyporeactivity to reward in unmedicated depressed patients, which is normalized after successful SSRI treatment. Overall, the potential impacts of medications on PET and fMRI measures could be considered as a limitation of the study. Besides, although the [11C]PE2I binds with high affinity to the DAT and is about 120–145 × more potent that DA itself (Reith et al, 1996), an effect of endogenous DA on DAT binding cannot be excluded. Another limitation arises from the differences between the behavioral and clinical features assessed in the studies that form our database, thus precluding dimensional correlations between imaging data and psycho-behavioral measures.

The combined use of PET and fMRI allows the assessment of DA and reward neural networks from molecular to functional levels. The present results demonstrate for the first time that DAT availability in the midbrain, which contains the cell bodies of dopaminergic neurons, correlates with BOLD activity during reward anticipation in the NAcc, the main DA target within the mesolimbic pathway. This relationship between DA and reward systems transcends diagnostic categories in psychiatric patients, which highlights the interest of studying such systems in patients with a dimensional approach. The use of multimodal and multiscale assessments in a dimensional perspective could give an overall view of molecular, structural and functional alterations that underlie the pathophysiology of psychiatric disorders in future research and could lead to the development of new treatment strategies thereafter.

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